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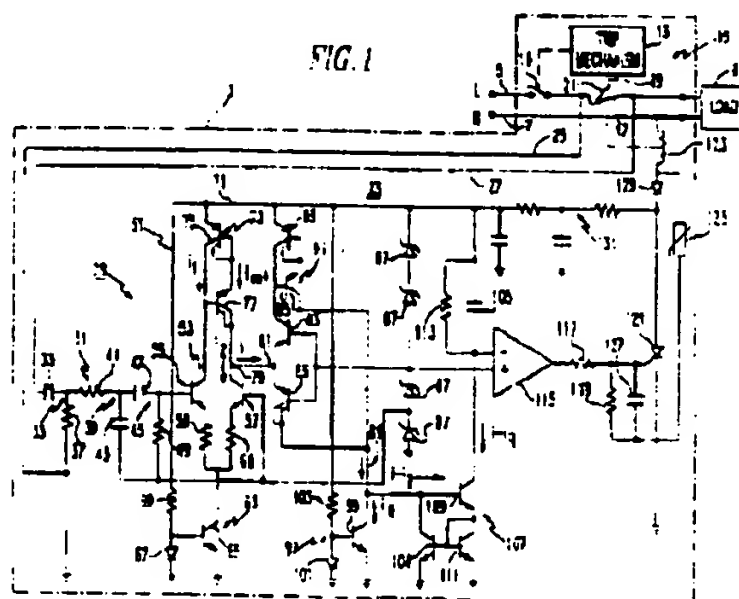
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(54) Low cost apparatus for detecting arcing faults and circuit breaker incorporating same

(57) A low cost analog arcing detector (23) and a circuit breaker (3) incorporating such a detector (23) provide a variable response time to arcing faults based upon the amplitude of the arcing current. A filter (31) generates pulses having an amplitude proportional to the amplitude of the step increase in current generated by the striking of the arc. The pulses are rectified and the amount by which the single polarity pulses exceed a threshold value, selected to eliminate nuisance trips on current step increases characteristic of some common loads, is integrated by a capacitor (105) connected to a resistor (113) which continually adjusts the capacitor voltage in a sense opposite to that of the pulses. The

capacitor (105) and resistor (113) are selected to generate a trip signal as a function of the accumulated, time attenuated magnitude of the step increases in current associated with each striking of the arc current. Preferably, the pulses are squared before the reference current is subtracted to provide faster response for large amplitude arc currents, while avoiding false trips caused by known loads. Preferably, the arcing detector (23) is used with a ground current detector (231) which provides further protection by tripping on ground currents flowing through carbon tracks deposited by arcing currents below the threshold of the arcing fault detector.



## Description

### BACKGROUND OF THE INVENTION

#### Field of the invention

This invention relates to apparatus responsive to arcing faults and circuit breakers incorporating same, and more particularly such apparatus preferably utilizing analog circuits.

#### Background information

Conventional circuit breakers respond to overcurrents and short circuits to interrupt current in a protected circuit. Some circuit breakers also protect personnel and equipment from ground currents. Recently there has been increased interest in providing protection against arcing faults. Arcing faults can occur for instance between adjacent bared conductors, between exposed ends of broken conductors, at a faulty connection and in other situations where conducting elements are in close proximity.

Arcing faults in ac systems can be intermittent as the magnetic repulsion forces generated by the arc current force the conductors apart to extinguish the arc. Mechanical forces then bring the conductors together again so that another arc is struck.

Arcing faults typically have high resistance so that the arcing current is below the instantaneous or magnetic trip thresholds of protection in a typical circuit breaker. Also, the intermittent nature of an arcing fault can create an average RMS current value which is below the thermal threshold for the circuit breaker. Even so, the arcs can cause injury or start a fire if they occur near combustible material. It is not practical to simply lower the pick-up currents on conventional circuit breakers as there are many typical loads which draw similar currents, and would therefore, cause nuisance trips.

Much attention has been directed toward trying to distinguish arcing currents from other intermittent currents. It has been recognized that arcing currents generate a step increase in current when the arc is struck. However, many typical loads generate a similar step increase when a device is turned on. In many instances, the step increases generated by these loads are singular events while an arcing fault generates a series of step increases. One fault detector counts the step increases and generates a trip signal if a selected number of step increases occur within a given interval. However, there are loads such as a solid state dimmer switch which also generates step increases in current when the firing angle is phased back substantially.

It has also been recognized that arcing faults generate a great deal of high frequency noise, and further, that there are periods of quiescence in the high frequency component. Some circuit breakers look to such features to differentiate arcing faults from other phenomena. Circuit breakers which rely upon such detailed

characteristics of current waveforms to detect arcing faults typically utilize a microprocessor to perform the analysis. They also require fairly good quality analog-to-digital converters to capture the high frequencies of interest. Thus, such arcing fault detectors add significantly to the cost of a circuit breaker, and in the case of a typical residential circuit breaker, can multiply its cost many times. Yet even such sophisticated arc detecting circuit breakers are subject to nuisance trips when confronted with some common load devices.

There is a need therefore, for an improved circuit breaker for providing protection against arcing faults which is economically practical. In this regard, there is a need for such a circuit breaker which can reliably respond to arcing faults and which does not require a microprocessor and associated high quality analog to digital converters.

### SUMMARY OF THE INVENTION

These needs and others are satisfied by the invention which is directed to apparatus for detecting an arcing fault and circuit breakers incorporating such apparatus both having a simple, low cost circuit to detect arcing faults. Rather than analyzing the high frequency noise which accompanies an arcing current, this circuit responds to a step increase in current accompanying each striking of the arc, and the repetitive occurrence of the arc. More particularly, it responds to the accumulated, time attenuated amplitude of the step increases in current. The greater the amplitude of the step increase in current caused by striking of the arc the fewer step increases are needed to detect the arcing current. In fact, if the arcing current is sufficiently high, a single striking of the arc will be sufficient to indicate the presence of the arc. In order to reduce nuisance tripping, a preferred embodiment of the invention only responds to step increases in current which exceed those associated with common loads, such as for instance dimmer switches and irons with a bi-metal thermostat.

In particular, an analog circuit in accordance with the invention includes sensing means which, in the preferred embodiment, is the bi-metal of the thermal trip unit commonly found in small circuit breakers. The voltage across the sensing means, which represents load current, is converted to pulses having an amplitude proportional to the amplitude of the step increase in load current by one or more low pass filters combined with one or more high pass filters. These pulses are full wave rectified and converted to current pulses by a circuit which responds to the very low amplitude pulse signals output by the filter. These current pulses are then applied to a capacitor which integrates them with respect to time. The charge on the capacitor is continuously adjusted so that the voltage across the capacitor represents the accumulated, time attenuated amplitude of the pulses. A current slightly greater than the magnitude of pulses which would be generated by common

The pulse generating circuit 29 also includes a rec-

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As mentioned, there are some loads which generate repetitive step increases in current which could be mistaken for arcing faults. Therefore the present invention only responds to step increases in current which

Figures 2A, 2B, 2C and 2D illustrate waveforms that are present at particular points in the circuit in Figure 1. Figure 2A illustrates a typical arcing current waveform 133. As can be seen, when the arc is struck a step increase in current is generated at 135. The arcing current is extinguished as it approaches 0. It remains 0 until the voltage on the negative half cycle reaches sufficient amplitude to strike the arc and a negative step increase in current 137 is generated. If the conductors are forced apart by the magnetic forces produced by the current, the arc would not be struck on the subsequent half cycle and it may be several cycles before the conductors

again come close enough for the arcing current to strike again. This can occur randomly so that the polarity of the step increases in current can be random. It will be noticed that there is some high frequency noise 139 on the arcing waveform. It is this high frequency component which many arcing fault detectors look for. The detector circuit of the present invention only responds to the step increases in current.

Figure 2B illustrates the pulse waveform which is output by the filter 31. As indicated, these pulses 141 can be of either polarity. Figure 2C illustrates the single polarity pulses 143 which appear on the lead 89 at the output of the rectifier circuit 51. Figure 2C also illustrates that only the portions of the pulses 143 that exceed the reference current  $i_r$  are applied to the integrating capacitor 105. Figure 2D illustrates the voltage  $V_c$  on the capacitor 105. As can be seen, each pulse adds charge to the capacitor which increases its voltage. Between pulses, the voltage decays as the charge is bled by resistor 113. If the pulses are of large enough amplitude and occur frequently enough, the voltage on the capacitor 105 reaches the trip voltage  $V_t$  represented by the reference voltage applied to comparator 115, and the SCR 121 is fired to trip the circuit breaker.

Figures 3A and 3B illustrate another embodiment of the invention wherein like components are identified by like reference characters and wherein components similar to those in the circuit of Figure 1 are identified by the same reference character primed. The major difference between the circuit of Figures 3A and 3B and the circuit of Figure 1 is that the second embodiment of the invention squares the current pulses before the reference current is subtracted. The arcing fault detector 23' of Figures 3A and 3B like that of Figure 1 utilizes the voltage generated across the bimetal 17 as a measure of current flowing in the line conductor. The pulse generating circuit 29' includes the filter 31 comprising a high-pass filter 33, a low-pass filter 39, and a second high-pass filter 45, having time constants selected as discussed in connection with Figure 1 so that the response of the filter 31 to step increases in currents produced by an arcing fault is a pulse having a magnitude proportional to the magnitude of the step increase produced by striking of the arcing current. Again, these pulses have the polarity of the half cycle in which the arc is struck.

The pulse generating circuit 29' also includes the rectifier circuit 51' for converting the bi-polar pulses output by the filter 31 into a single polarity pulse signal. The rectifier circuit 51' includes the differential amplifier 53' formed by the transistors 55 and 57, the emitters of which are connected by a resistor 145. These emitters are also connected to a constant current source 147 formed by the transistors 149 and 151, having a common base drive provided by a transistor 153 energized through resistor 155.

The rectifier circuit 51' also includes the current mirror 73 comprising the transistors 157, 159, and 161. As discussed in connection with Figure 1, the current mirror 73 assures that the current  $i_{in}$  remains equal to the

current  $i_1$ . When the onset of an arc causes the filter circuit 31 to apply a positive pulse to the differential amplifier 53', the transistor 55 is turned on harder than the transistor 57. This results in current flowing through the resistor 145 which reduces the current  $i_2$ . Thus, a current  $i$  flows out of the node 79. This current pulse, being positive, turns on the transistor 85 to produce a pulse on the lead 89.

When the filter 31 applies a negative pulse to the differential amplifier 53', the polarity of the current  $i$  at node 79 reverses and a transistor 83 is turned on. The current mirror 91' formed by the transistors 163, 165, and 167 produces a pulse of positive polarity on the lead 89.

A circuit 169 applies a selected transfer function to the unipolar pulses generated on the lead 89. Preferably, the transfer function is a convex function, that is, the slope of the function is never negative. In the exemplary embodiment of the invention, the circuit 169 is a squaring circuit which squares the pulses on the lead 89.

This circuit 169 includes a pair of transistors 171 and 173 connected between the lead 89 and ground. The lead 89 is also connected to the base of a transistor 175 which has its emitter connected to the base of a grounded emitter transistor 177. The pulses on the lead 89 pass through the transistors 171 and 173 producing voltage drops  $V_1$  and  $V_2$ , respectively. The sum of the emitter-to-base voltages  $V_3$  and  $V_4$  of the transistors 177 and 175 equals the sum of the voltages  $V_1$  and  $V_2$ . A constant current source formed by a transistor 179 having its base drive fixed by the transistor 181 which is connected to the power supply bus 71 through the resistor 183 draws a constant current through the transistor 175. As the transistor 175 has a high gain, and therefore draws negligible current through its base, the voltage  $V_2$  is fixed by the bias current  $i_b$  drawn by the constant current source. As is known, the voltages  $V_1$  to  $V_4$  are a function of the log of the current through the respective transistors. Thus, the following relationship applies:

$$\log i_1 + \log i_2 = \log i_3 + \log i_4$$

and, therefore:

$$i_1 \times i_2 = i_3 \times i_4$$

since  $i_1$  and  $i_2$  are both equal to  $i_{in}$  (the current pulses on lead 89):

$$i_{in}^2 = i_3 \times i_4$$

and,

$$i_3 = \frac{i_{in}^2}{i_4} = i_{out}$$

The squared pulses are amplified by a current mirror 185 having an input transistor 187 and a pair of out-

put transistors 189 and 191 so that a gain of two is applied to the squared pulses.

In order to preclude nuisance trips caused by common loads a reference current  $i_r$  is subtracted from the amplified squared pulse signal by a constant current source 97 which comprises a transistor 193 having constant base drive current provided by the transistor 195 energized through the resistor 197. The resultant pulses which exceed the threshold current  $i_A$  are provided on the lead 199.

Turning to Figure 3B, these clipped pulses on the lead 199 are applied to the trip signal generator 116'. The trip signal generator 116' includes an integrating capacitor 105. The capacitor 105 is charged from the power supply lead 71 through a resistor 113'. When the circuit is first turned on, a rapid charging circuit 203 initially brings the capacitor 105 up to full charge. This rapid charging circuit 203 includes a pair of transistors 205 and 207 connected in parallel between the power supply lead 71 and the capacitor 105. Base drive to turn on these transistors is provided through a capacitor 211. The resistor 209, by diverting some of the charging current, establishes a minimum level of  $dv/dt$  required to turn on the transistors 207 and 209. When the capacitor 211 becomes fully charged, the transistors 207 and 209 are turned off, and subsequent charging of the capacitor 105 is through the resistor 113'.

The capacitor 105 of the trip signal generating circuit 116' integrates the clipped pulses provided on the lead 199. Each pulse turns on a transistor 213 to turn on a transistor 215 which, in turn, turns on a transistor 217 which drains charge from the capacitor 105 in proportion to the magnitude and duration of the pulse. Successive pulses reduce the voltage on the capacitor 105; however, the resistor 113' continuously applies charging current to the capacitor 105. The parameters are selected such that the selected function of the accumulated, time attenuated amount by which the magnitude of the squared pulses exceeds the reference current is implemented. When the voltage on the capacitor 105 reaches the reference voltage on the comparator 115' formed by the transistors 219 and 221, which is the supply voltage (+13 volts) minus the diode drops of these two transistors, the transistors 219 and 221 are turned on. This turns on a pair of transistors 223 and 225 which latch the transistor 215 full-on to generate a positive trip signal on the lead 227 through transistor 229. This trip signal turns on the SCR 121 to energize the trip coil 123.

Preferably, the sputtering arc fault detector 23' is used together with a ground current detection circuit such as the ground fault circuit 231 or an earth leakage protection circuit (not shown) which are used for people protection and equipment protection, respectively. Preferably, the ground fault interrupting circuit 231 is of the dormant oscillator type such as is shown in U.S. patent number 5,224,006 which is hereby incorporated by reference. Such a dormant oscillator type ground fault interrupting circuit includes two pick-up coils. The first

coil 233 is a toroidal coil through which both the line and neutral conductors 5 and 7 pass. Only the neutral conductor 7 passes through the second toroidal coil 235.

The ground current detection circuit such as ground fault circuit 231 is particularly useful in combination with the arcing fault detector. As mentioned, the arcing fault detector 23' is subject to nuisance trips caused by some common loads which produce waveforms similar to those caused by arcing faults. Thus, the magnitude of the step increases in current to which the arcing fault detector responds must be set high enough to avoid response to common loads which can produce a similar step increase. We have found that arcing conditions such as can be created in wiring devices such as receptacles by faulty connections cause carbonization which can lead to tripping of the ground fault interrupter at arcing currents which are below the response level of the arcing fault detector. Thus, the ground fault interrupter 231 extends protection against arcing faults. The trip signal generated by the ground fault interrupting circuit 231 turns on the SCR 121 through the lead 237 to energize the trip solenoid 123.

The ground fault detector 231 and the arcing fault detector 23' can be implemented on a single integrated circuit chip (not shown). Certain of the components of the arcing fault detector 23' could be implemented by discrete components off of the chip to provide for easy modification of the circuit for different applications. For instance, the input filter 31 could be provided by discrete components in order to adjust the sensitivity of the arcing fault detector. In addition, the capacitor 105 and charging resistor 201 could be discrete elements selected to provide the desired inverse relationship between the amplitude of the pulses and the arcing rate. In connection with this, the resistor 209 and capacitor 211 which form part of the circuit 203 for initially charging the capacitor 105 could also be selectable off chip components. It may also be desirable to make the resistor of the threshold circuit 97 a discrete resistor to adjust the threshold level.

Figure 4 illustrates the inverse relationship between the amplitude of the pulses generated by the onset of the arcing current and the number of pulses required to generate a trip signal. As can be seen from Figure 4 the amplitude of the pulses must exceed the threshold value represented by  $i_p$  in order to generate a trip signal. On the other hand a single step increase in current of about 90 amps in the example will trip the circuit breaker.

Four traces A-D representing several ratios of half cycles in which an arc is struck to the total number of half cycles are shown in Figure 4. For instance, trace A illustrates the response curve wherein an arc is initiated during each half cycle and thus is extinguished at each zero crossing. The trace B represents the instance wherein an arc is initiated once every three half cycles, while trace C illustrates a duty cycle of an arc struck every seven half cycles and trace D represents the response for a duty cycle in which an arc is struck only



As can be appreciated, the invention provides a simple, low cost detector for distinguishing arcing faults from normally encountered loads which also distort the current waveform in electrical distribution systems. This simple circuit provides variable response dependent upon the amplitude of the step increases in current produced by the striking of the arcing current and the time intervals at which repetitive pulses occur.

While specific embodiments of the invention have been described in detail, it will be appreciated by those skilled in the art that various modifications and alternatives to those details could be developed in light of the overall teachings of the disclosure. Accordingly, the particular arrangements disclosed are meant to be illustrative only and not limiting as to the scope of invention which is to be given the full breadth of the claims appended and any and all equivalents thereof.

1. A circuit breaker (3) for interrupting current in an electrical system (1) subject to an arcing current of undetermined amplitude which repetitively strikes said circuit breaker comprising:

separable contacts (11) which interrupt said current in said electrical system (1) including said arcing current when open; and trip means (23) comprising pulse generating means (29) generating a pulse with an amplitude which is a direct function of said undetermined amplitude of said arcing current each time said arcing current strikes to produce a series of pulses, trip signal generating means (116) generating a trip signal as a function of an accumulated, time attenuated amplitude of said pulses, and means (13) opening said separable contacts in response to said trip signal.

2. The circuit breaker (3) of Claim 1 wherein said trip signal generating means (116) generates said trip signal as a function of the accumulated, time attenuated amount by which the amplitude of said pulses exceeds a threshold value. 45
3. The circuit breaker (3) of Claim 2 adapted for use with said electrical system (1) which is subject to intermittent normal load currents of a certain magnitude, and wherein said threshold value corresponds to an amplitude of said arcing current greater than said certain amplitude of said intermittent normal load currents. 50
4. The circuit breaker (3) of Claim 3 wherein said pulse generating means (29) includes rectifying means (51) generating pulses of a single polarity. 55
5. The circuit breaker (3) of Claim 1 wherein said

pulse generating means (29) generates pulses of a single polarity, and said trip signal generating means (115) comprises a capacitor (105), means (97, 104, 107) applying said pulses to said capacitor, adjusting means (113) connected to said capacitor for adjusting charge on said capacitor at a selected rate in an opposite sense from said pulses (105), and output means (115) generating said trip signal when voltage across said capacitor (105) reaches a predetermined value, said capacitor (105) and adjusting means (113) being selected such that said voltage across said capacitor (105) is determined by said accumulated, time attenuated amplitude of said pulses.

6. The circuit breaker (3) of Claim 5 wherein said pulse generating means (29) includes means (169) applying a convex function to said pulses to produce non-linear pulses which are applied to said capacitor (105) by said trip signal generating means (116).
7. The circuit breaker (3) of Claim 6 wherein said means applying said pulses to said capacitor (105) comprises means (97) only applying a portion of said non-linear pulses exceeding a threshold value to said capacitor (105).
8. The circuit breaker (3) of Claim 5 wherein said means (97, 104, 107) applying said current pulses to said capacitor (105) comprises means (97) only applying a portion of said pulses exceeding a threshold value to said capacitor (105).
9. The circuit breaker (3) of Claim 8 adapted for use with an electrical system (1) subject to intermittent load currents of a certain amplitude wherein said means (97) applying a portion of said pulses exceeding a threshold value to said capacitor (105) comprises means subtracting from said pulses a reference current which is said direct function of a current in said electrical system (1) greater than said certain amplitude of said intermittent normal currents.
10. The circuit breaker (3) of Claim 9 wherein said trip signal generating means (116) further includes ground current detecting means (231) which generates said trip signal in response to a ground current above a predetermined level but below said certain amplitude of said intermittent normal currents.
11. The circuit breaker (3) of Claim 5 wherein said pulse generating means (29) comprises means (17) generating a voltage proportional to said arcing current, filter means (31) generating voltage pulses having an amplitude which is said direct function of said undetermined amplitude of said arcing current, and means (51) converting said voltage pulses to

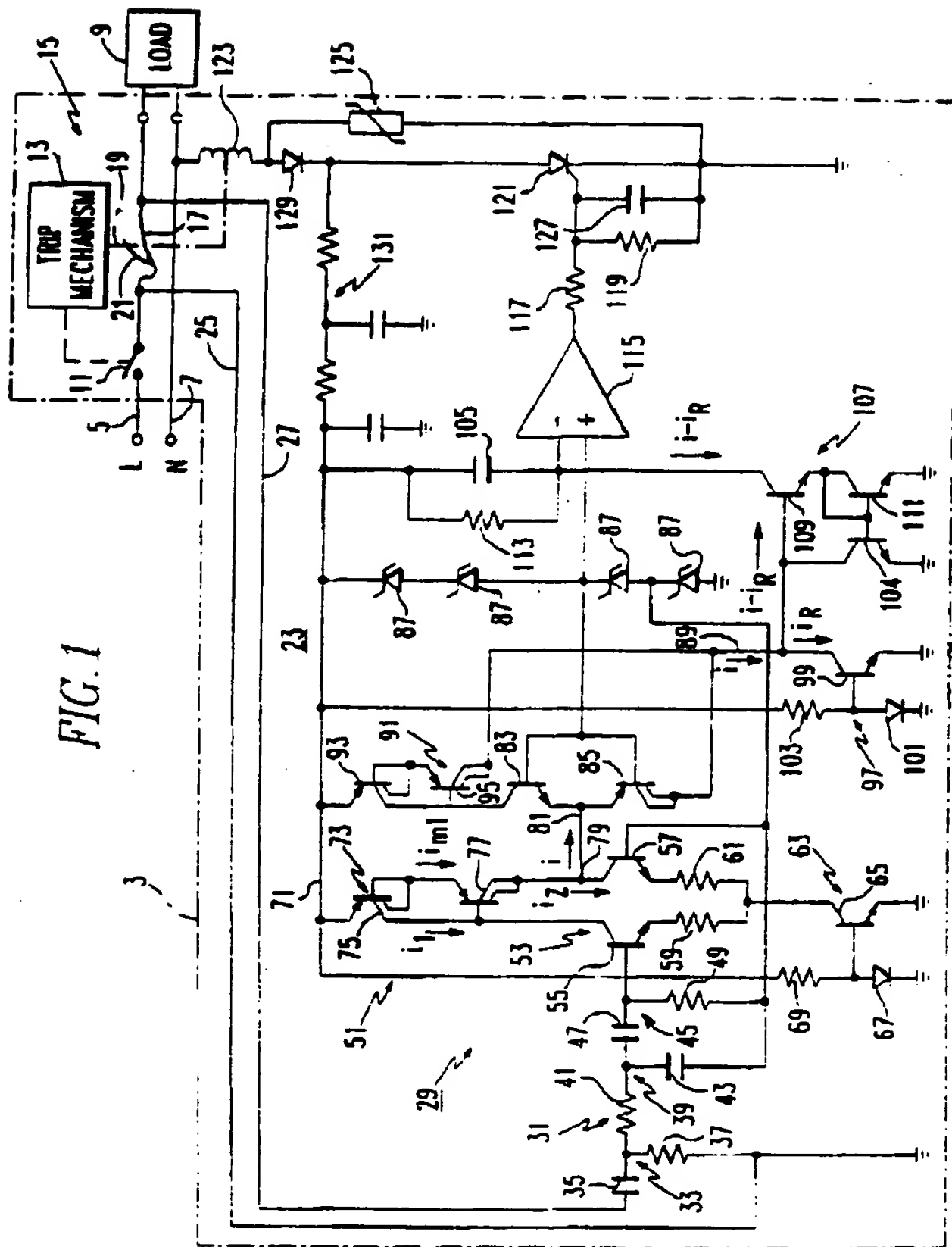
current pulses of a single polarity.

12. The circuit breaker (3) of Claim 1 wherein said pulse generating means (29) includes means (189) applying a convex function to said pulses to produce a series of non-linear pulses and wherein said trip signal generating means (116) generates said trip signal as a function of an accumulated, time attenuated amplitude of said non-linear pulses. 5
13. The circuit breaker (3) of Claim 12 wherein said pulse generating means (29) includes means (97) subtracting from said non-linear pulses a reference current which is a direct function of current in said electrical system (1) and which would generate a nuisance trip. 10
14. The circuit breaker (3) of Claim 1 wherein said trip signal generating means (116) further includes ground current detecting means (231) which generates said trip signal in response to a ground current above a predetermined level. 15
15. The circuit breaker of Claim 1 wherein said trip means (23) further includes means (169) adjusting the amplitude of said pulses in accordance with a selected non-linear function to produce a series of pulses of adjusted amplitude and wherein said trip signal generating means (116) generates said trip signal as a function of the accumulated, time attenuated adjusted amplitude of said pulses. 20
16. The circuit breaker of Claim 15 wherein said trip signal generating (116) means further includes ground current detecting means (231) which generates said trip signal in response to a ground current above a predetermined level. 25
17. Apparatus (23) for detecting said arcing current of undetermined amplitude which strikes repetitively in an electrical system, said apparatus comprising: 30
  - pulse generating means (29) generating a pulse with an amplitude proportional to the undetermined amplitude of said arcing current each time said arcing current strikes to produce a series of pulses; and 35
  - output signal generating means (116) generating an arcing signal as a function of an accumulated, time attenuated amplitude of said pulses 40
18. The apparatus (23) of Claim 17 wherein said output signal generating (116) means generates said arcing signal as a function of an accumulated, time attenuated amount by which said amplitude of said pulses exceeds a threshold value. 45
19. The apparatus (23) of Claim 18 wherein said pulse 50

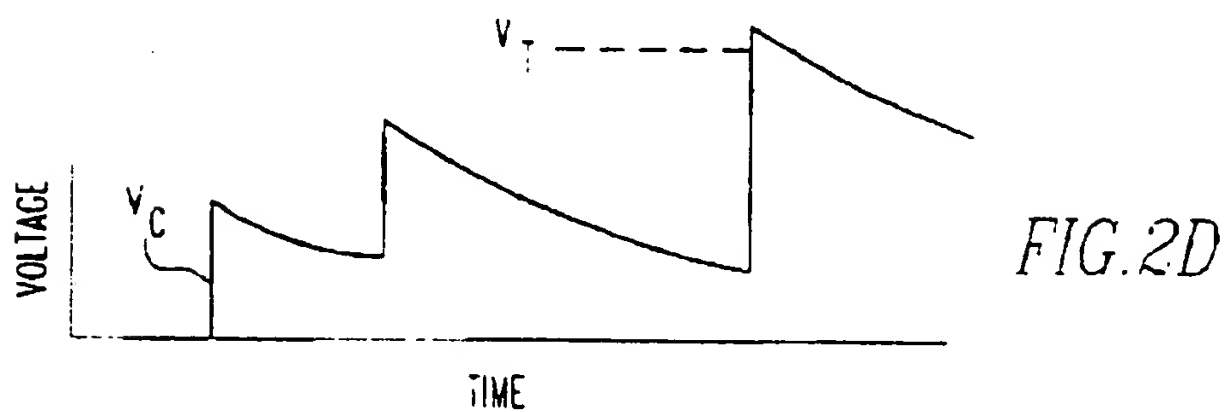
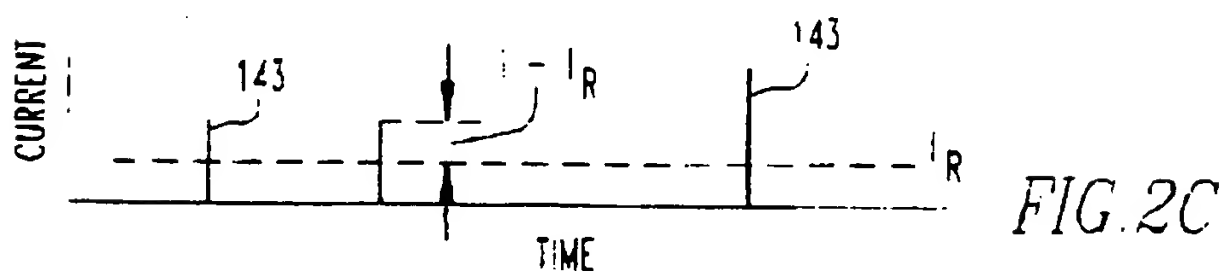
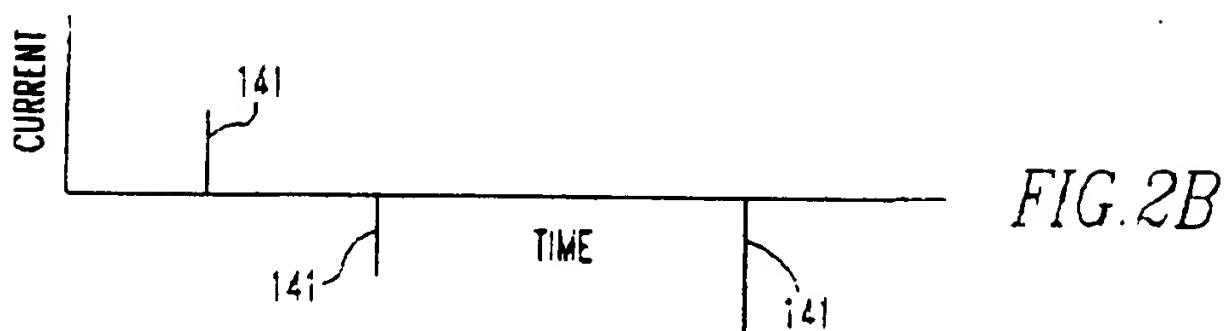
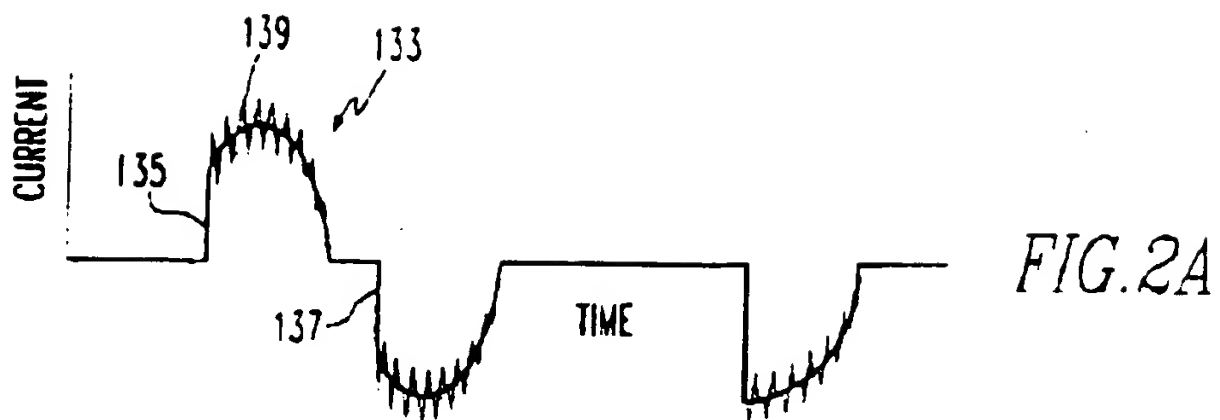
generating means (29) generates current pulses of a single polarity, and said output signal generating means (116) comprises a capacitor (105), means (97, 104, 107) applying said pulses to said capacitor (105), adjusting means (113) connected to said capacitor (105) for adjusting charge on said capacitor in an opposite sense from said pulses at a selected rate, and output means (115) generating said output signal when said voltage across said capacitor reaches a predetermined value, said capacitor (105) and adjusting means (113) being selected such that said voltage across said capacitor (105) is determined by accumulated, time attenuated amplitude of said pulses.

20. The apparatus (23) of Claim 19 wherein said pulse generating means (29) includes means (169) applying a convex function to said pulses to produce non-linear pulses which are applied to said capacitor (105). 55
21. The apparatus of Claim 20 wherein said means (169) applying a convex function to said pulses comprises means squaring said pulses to produce squared pulses which are applied to said capacitor (105).
22. The apparatus (23) of Claim 20 wherein said means applying said pulses to said capacitor (105) comprises means (97) only applying a portion of said non-linear pulses exceeding a threshold value to said capacitor (105).
23. The apparatus (23) of Claim 19 wherein said means (97, 104, 107) applying said current pulses to said capacitor (105) comprises means (97) only applying a portion of said pulses exceeding a threshold value to said capacitor (105).
24. The apparatus (23) of Claim 17 wherein said pulse generating means (29) includes means (169) applying a convex function to said pulses to produce a series of non-linear pulses and wherein said output signal generating means (115) generates said trip signal as a function of an accumulated, time attenuated amplitude of non-linear pulses.
25. The apparatus (23) of Claim 24 wherein said pulse generating means (29) includes means (97) subtracting from said non-linear pulses a reference current which is a direct function of current in said electrical system (1) and which would generate a nuisance trip.
26. The apparatus of Claim 17 wherein said pulse generating means (29) includes means (169) applying a non-linear function to said pulses 60





**FIG. 1**



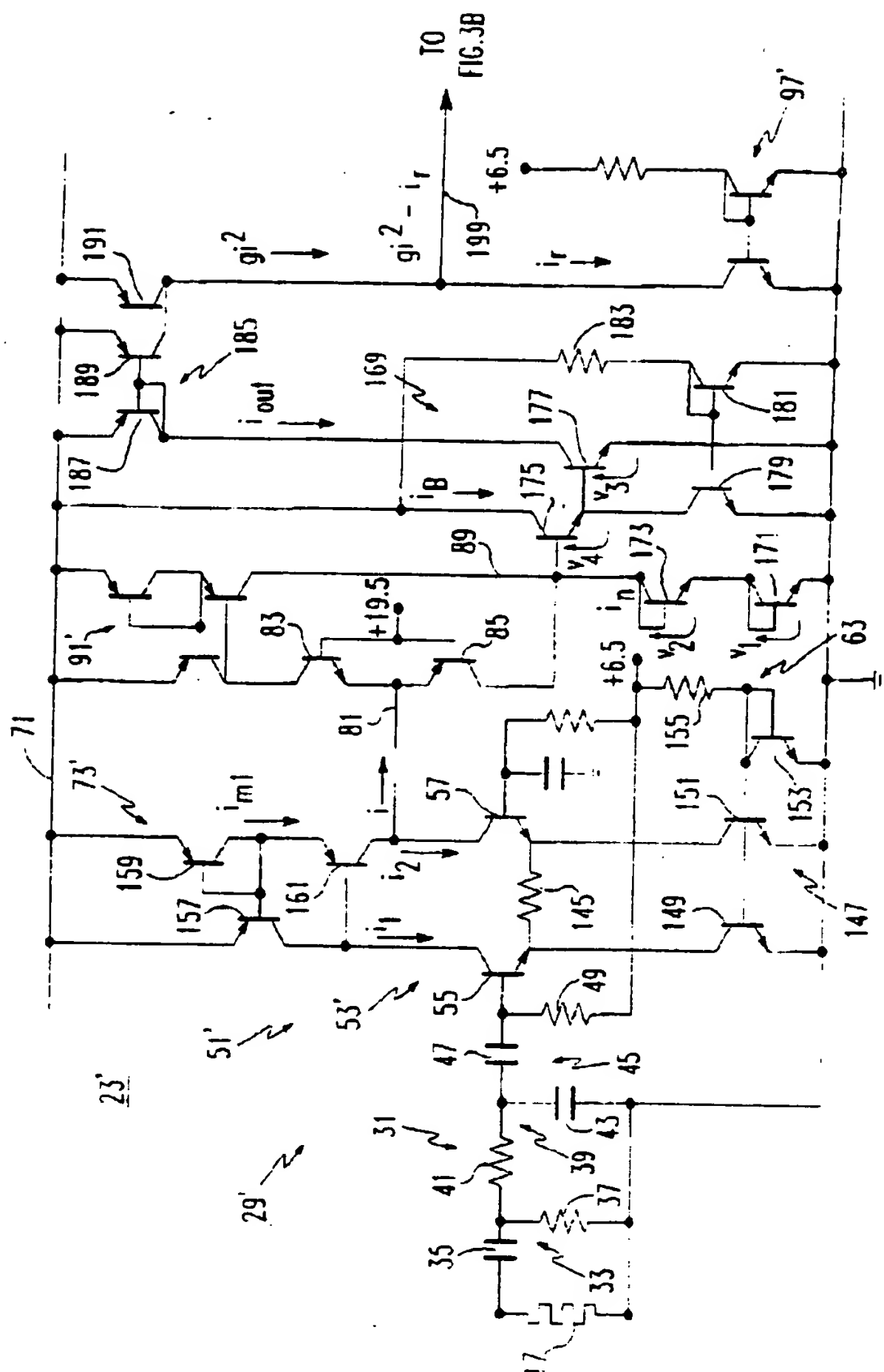


FIG. 3A

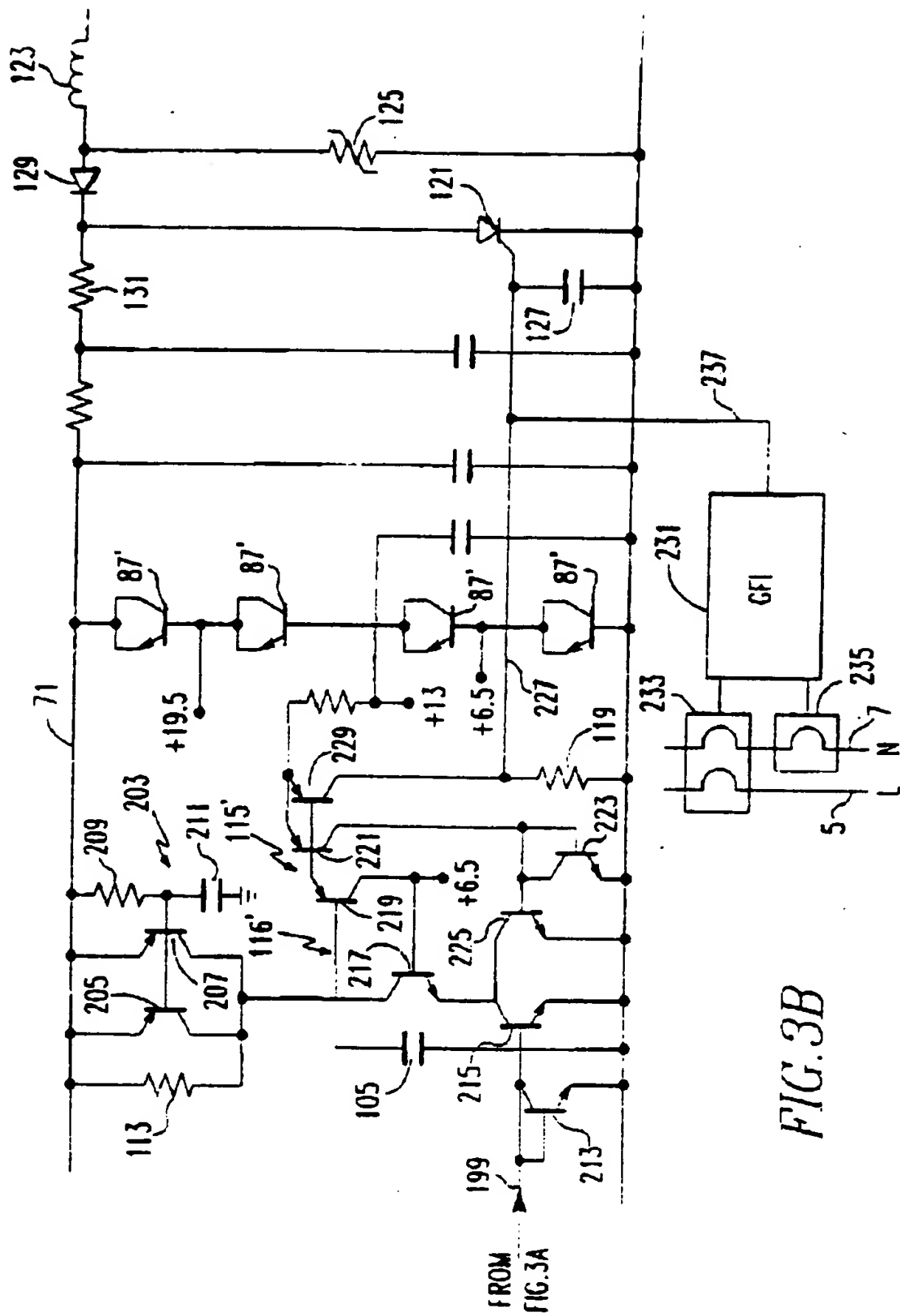


FIG. 3B

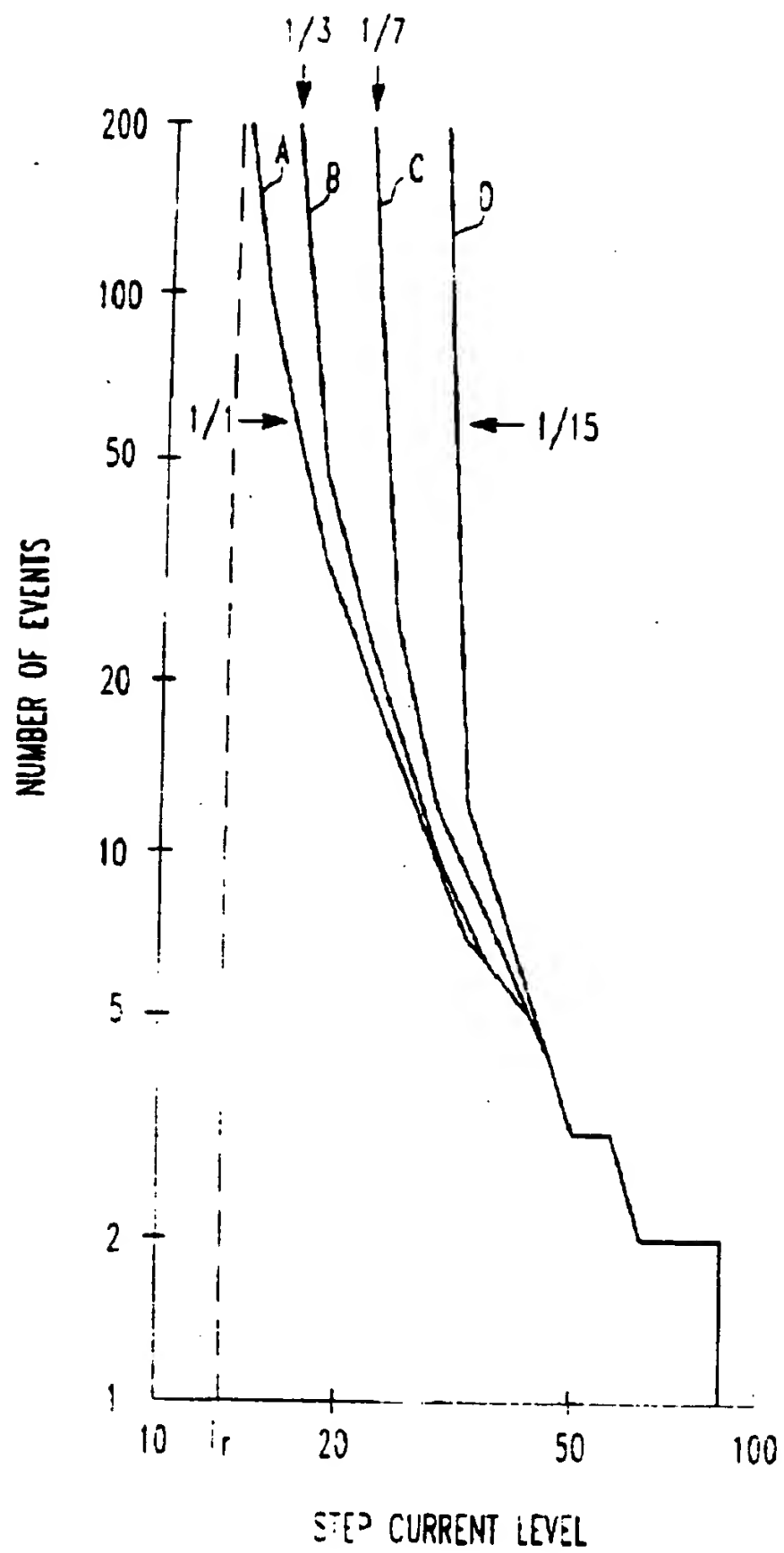


FIG. 4



European Patent  
Office

## EUROPEAN SEARCH REPORT

Application Number  
EP 96 18 7204

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
A	EP 0 570 206 A (BIO RAD LABORATORIES) 18 November 1993 * column 4, line 18 - column 6, line 52; figure 1 *	1,17	H02H1/00
A	EP 0 615 327 A (WESTINGHOUSE ELECTRIC CORP) 14 September 1994 * abstract *	1,17	
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)
			H02H G01R
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 18 September 1996	Examiner Salm, R
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document</p> <p>T: theory or principle underlying the invention F: earlier patent document, but published on, or after the filing date D: document cited in the application L: document cited for other reasons A: member of the same patent family, corresponding document</p>			

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